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**Certification under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231 on August 2, 2000.

Thomas F. Peterson  
Name

  
Signature

DOCKET: CU-2003

**RECEIVED****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AUG 7 2000****Group 2700**

In re application of: Ian Charles OGILVY

Serial No.: 09/381,143

Group Art Unit: 2735

Filed: October 22, 1999

Examiner:

For: METHOD AND APPARATUS FOR CONTROLLING COMMUNICATIONS

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF  
FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: Aug. 2, 2000

Signature of Attorney

Reg. No. 24790

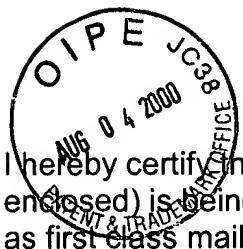
Thomas F. Peterson

Ladas &amp; Parry

224 South Michigan Avenue

Chicago Illinois 60604

Tel. No. (312) 427-1300



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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231 on August 2, 2000.

Thomas F. Peterson

Name

A handwritten signature in black ink, appearing to read "Thomas F. Peterson".

Signature

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**INFORMATION DISCLOSURE STATEMENT**

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

The Statement is made on the basis of the information:

- supplied by the inventor(s);  
 supplied by an individual associated with the filing and prosecution  
of this application (37 CFR 1.56(c)); or  
 in the attorney's file.

Respectfully submitted,

Date: Aug. 2, 2000

Reg. No. 24790



Signature of Attorney

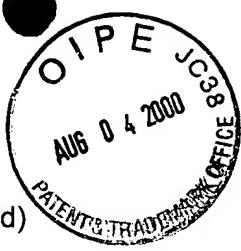
Thomas F. Peterson

Ladas & Parry

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Chicago Illinois 60604

Tel. No. (312) 427-1300



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**Form PTO-1449 (Modified)**

FORM PTO-1449	ATTY. DOCKET NO. <b>CU-2003</b>	SERIAL NO. <b>09/381,143</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	APPLICANT <b>Ian Charles OGILVY</b>	
(37 CFR 1.98(b))	FILING DATE <b>October 22, 1999</b>	GROUP <b>2735</b>

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB-CLASS	TRANSLATION YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
		0	6	3	4	7	1	8	01/18/95	EP			
		2	2	0	0	0	8	8	09/08/88	AU			
		9	3	0	5	5	9	9	03/18/93	WO			
		9	4	1	0	6	2	8	05/11/94	WO			
		0	4	5	6	2	4	9	11/13/91	EP			
		9	2	1	2	4	8	0	07/23/92	WO			

**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

			Hamilton, "Java and the Shift to Net-Centric Computing", P-31-39; Aug. 1996, IEEE Computer

For more information about the study, please contact Dr. John Smith at (555) 123-4567 or via email at [john.smith@researchinstitute.org](mailto:john.smith@researchinstitute.org).

**EXAMINER:** Initial citation considered. Draw line through citation if not In conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)